

PERSONAL FINANCIAL STATEMENT

IMPORTANT: Read these directions before completing this statement.

- If you are applying for individual credit in your own name and are relying on your own income or assets and not the income or assets of another person as the basis for repayment of the credit requested, complete only Section 1,3 and 4.
- If you are applying for joint credit with another person, complete all Sections, providing information in Section 2 about the joint applicant.
- If you are applying for individual credit but are relying on income from alimony, child support, separate maintenance, or on the income or assets of another person as a basis for repayment of the credit requested, complete all Sections, providing information in Section 2 about the person whose alimony, support or maintenance payments or income or assets you are relying.
- If this statement relates to your guaranty of the indebtedness of another person(s), firm(s), or corporation(s), complete Sections 1,3 and 4.

TO: STIFEL BANK

E-Mail:

Cell Phone:

Section 1 - Individual Information			Section 2 - Other Party Information		
Name			Name		
Social Security Number			Social Security Number		
Date of Birth			Date of Birth		
Residence Address			Residence Address		
City, State & Zip			City, State & Zip		
Business Name			Business Name		
Position or Occupation			Position or Occupation		
Length of Employment			Length of Employment		
Business Address			Business Address		
City, State & Zip			City, State & Zip		
Residence Phone		Business Phone	Residence Phone		Business Phone

Have (either of) you or any firm in which you were a major owner ever declared bankruptcy, or settled any debt for less than the amount owed? If yes, please provide details on a separate sheet. Yes No

Are (either of) you a defendant in any law suit or legal action? Yes No

Are (either of) you presently subject to any unsatisfied judgements or tax liens? Yes No

When, if ever, have (either of) you been audited by IRS?

Section 3 - Statement of financial condition			
Assets	In Dollars	Liabilities	In Dollars
(do not include assets of doubtful accounts)	(omit cents)		(omit cents)
Cash on hand and in banks - See Schedule A		Notes payable to banks - See schedule I	
US Gov't & Marketable Securities - See Schedule B		Due to brokers - See schedule I	
Non-Marketable Securities - See Schedule C		Amounts payable to others - secured	
Securities held by broker in margin accounts		Amounts payable to others - unsecured	
Restricted or control stocks		Credit Cards and other bills due	
Partial interest in Real Estate Equities - see Schedule D		Unpaid income tax	
Real Estate owned - see Schedule E		Other unpaid taxes and interest	
Accounts, loans, & notes receivable		Real Estate mortgages payable - see Schedule D & E	
Automobiles & other personal property		Other debts - Itemize	
Cash surrender life insurance - See Schedule F			
Vested interest in Deferred Comp. - See Schedule G			
Business Ventures - See Schedule H			
Other Assets/Personal Property - itemize			
		TOTAL LIABILITIES	\$ -
		NET WORTH	\$ -
TOTAL ASSETS	\$ -	TOTAL LIABILITIES AND NET WORTH	\$ -

Section 4 - Sources of income for the year ended		Personal Information	
Salary, bonuses, and commissions	\$	Do you have a will?	
Dividends	\$	If so, name of executor.	
Real estate income	\$	Are you obligated to pay alimony, child support or separate maintenance payments? If so, describe.	
Other income (alimony, child support, or separate maintenance income need not be revealed if you do not wish to have it considered as a basis for repaying this obligation)	\$	Are any of the above assets held in trust? If so, which assets and what type of trust are they held in?	
TOTAL	\$	Are any of your assets pledged other than described on schedules? If so, describe.	
Contingent Liabilities		Are you a partner or officer in any other venture other than what is listed in schedule H?	
Do you have any contingent liabilities? If so, describe.		Income tax settled through date?	
As endorser, co-maker, or guarantor?	\$		
On leases or contracts?	\$		
Legal claims	\$		
Other special debt	\$		
Amount of contested income tax liens	\$		

**COMPLETE SCHEDULES AND SIGN ON PAGE 2
SCHEDULE A - CASH, CHECKING, SAVINGS, CERTIFICATE OF DEPOSIT, MONEY MARKET FUNDS, ETC.**

Name of Financial Institution	Type of Account	Owner	(J)	If Pledged, to whom	Balance

SCHEDULE B - U.S. GOVERNMENT & MARKETABLE SECURITIES

Number of shares or face value (bonds)	Description	In name of	If Pledged, to Whom	Market Value

SCHEDULE C - NON-MARKETABLE SECURITIES

Number of shares or face value (bonds)	Description	In name of	If Pledged, to whom	Method of Valuation	Value

SCHEDULE D - PARTIAL INTERESTS IN REAL ESTATE EQUITIES

Address & Type of Property	% of Ownership	Cost	Market Value	Title in Name Of	Date Acquired	Mortgage Maturity	Mortgage Amount

SCHEDULE E - REAL ESTATE OWNED

Address & Type of Property	% of Ownership	Cost	Market Value	Title in Name Of	Date Acquired	Mortgage Maturity	Mortgage Amount

SCHEDULE F - LIFE INSURANCE CARRIED, INCLUDING GROUP INSURANCE

Name of Insurance Company	Owner of Policy	Beneficiary and Relationship	Face Amount	Policy Loans	Cash Surrender Value

SCHEDULE G - VESTED DEFERRED COMPENSATION/PROFIT-SHARING PLANS

Name of Company	Account Number	Manner of Payout	Distribution Date	Beneficiary	Amount	% Vested

SCHEDULE H - BUSINESS VENTURES (Use additional sheet if needed)

Name and Address of Any Business which you are a partner in	Your Position/ Title in the Business	Line of Business	Years in Business	Total Assets	Your % of Ownership	Net Worth of Business	Present Net Value of Your Investment

SCHEDULE I - LOANS OWING BANKS, BROKERS, FINANCE COMPANIES, AND OTHERS (Mastercard, Visa, etc.)

Owing to (account number)	Date of Original Borrowing/ Amount	Present Balance	Due	Monthly Payment	Maturity Date	Secured By

The information contained in this Statement is provided for the purpose of obtaining, or maintaining credit with you on behalf of the undersigned, or persons, firms, or corporations in whose behalf the undersigned may either severally or jointly with others, execute a guaranty in your favor. Each undersigned understands that you are relying on the information provided herein (including the designation made as to ownership of property) in deciding to grant or continue credit. Each undersigned represents and warrants that the information provided is true and complete and that you may consider this Statement as continuing to be true and correct until a written notice of change is given to you by the undersigned. You are authorized to make all inquiries you deem necessary to verify the accuracy of the statements made herein, and to determine my/our creditworthiness. You are authorized to answer questions about your credit experience with me/us.

Date Signed: _____

Signature (Individual): _____

Date Signed: _____

Signature (Other Party): _____

Important Security Disclosure:

Please DO NOT send any sensitive or confidential information including account numbers, passwords, social security numbers, etc. through an e-mail system. Please fax this completed form to Account Services at (314) 621-0446